



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Monday, February 5, 2018 at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 419C, Oklahoma City, Oklahoma.

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Introductions and announcements. Ms. Kemp.
3. Consideration of Amendment 2018-02. This amendment modifies Rule 4, Conflicts of Interest, and Rule 5, Lobbyist Rules, of the Constitutional Ethics Rules and establishes a timeframe for restrictions on certain activities of former state officers and employees regarding lobbying, consulting, and interacting with the State and the agency formerly served.
  - a. Explanation of Amendment 2018-02 by Executive Director.
  - b. Comments by Commissioner Hawkins.
  - d. Comments, questions, and discussion by Commissioners and staff.
4. Discussion and possible action on Amendment 2018-02 of the Constitutional Ethics Rules. Chair Hawkins.
5. Consideration, discussion and possible final action on the Commission's official promulgated rules for Year 2018. Chair Hawkins.
6. Consideration, discussion and possible action on minutes for the regular meeting, public hearing and executive session held January 12, 2018. Chair Hawkins.
7. Discussion of agency expenditures for the month of January 2018. Chair Hawkins.
8. Executive Director's Report. Ms. Kemp.
  - a. Agency News
  - b. FY 19 Budget Request
  - c. Continuing Education Programs and Compliance
9. 2018 Legislation Report. Ms. Preslar.
10. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, §

307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.

- a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
- b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Hawkins.
- c. Consideration and discussion on the following:

Case No. 2017-14, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Complaint C-17-16

Complaint C-17-17

11. Action to return to open session. Chair Hawkins.

12. Consideration, discussion and possible action on items considered in executive session. Chair Hawkins.

Case No. 2017-14, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Complaint C-17-16

Complaint C-17-17

13. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

14. Adjournment. Chair Hawkins.

**-NEXT REGULAR MEETING-  
FRIDAY MARCH 9, 2018 AT 10:00 AM  
OKLAHOMA STATE CAPITOL BUILDING  
2300 NORTH LINCOLN BOULEVARD, ROOM 419C  
OKLAHOMA CITY, OKLAHOMA**



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Friday, March 9, 2018 at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432A, Oklahoma City, Oklahoma.

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Announcement of location Commissioners will briefly depart the meeting room for photographs to be taken and no business will be discussed. Chair Hawkins.
3. Consideration, discussion, and possible action on procedures for promulgation of Ethics Rules for the 2019 legislative session. Chair Hawkins
4. Request by Representative Meloyde Blancett for the Commission to engage in Rulemaking on Rule 2, Campaign Finance Rules, to require disclosure for expenditures intended to influence official action of the Legislature or other official government bodies. Chair Hawkins.
  - a. Explanation of Request by Rep. Blancett
  - b. Possible Discussion by Commissioners and Staff
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held February 5, 2018. Chair Hawkins.
6. Discussion of agency expenditures for the month of February 2018. Chair Hawkins.
7. Executive Director's Report. Ms. Kemp.
  - a. 2018 Rule Delivery
  - b. FY 18 Budget
  - c. FY 19 Budget Request
  - d. 2018 Candidate Filing
  - e. State Auditor's Final Agreed Upon Procedure's Report
  - f. Continuing Education Programs and Compliance
8. 2018 Legislation Report. Ms. Preslar.
9. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2017, §

307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.

a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Hawkins.

c. Consideration and discussion on the following:

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2017-14, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Complaint C-18-01

10. Action to return to open session. Chair Hawkins.

11. Consideration, discussion, and possible action on items considered in executive session. Chair Hawkins.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2017-14, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Complaint C-18-01

12. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

13. Adjournment. Chair Hawkins.

**-NEXT REGULAR MEETING-  
FRIDAY APRIL 13, 2018 AT 10:00 AM  
OKLAHOMA STATE CAPITOL BUILDING  
2300 NORTH LINCOLN BOULEVARD, ROOM 432A  
OKLAHOMA CITY, OKLAHOMA**



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting and public hearing Friday, April 13, 2018 at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432-A, Oklahoma City, Oklahoma.

**Public Hearing:** The Public Hearing is to take public comment on draft Advisory Opinion 2018-01, in response to advisory opinion request AOR- 2017-03, regarding application of lobbying rules. The request and draft opinion are available at [www.ethics.ok.gov](http://www.ethics.ok.gov).

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Open Meeting Act Compliance. Chair Hawkins
3. Introductions and Announcements. Chair Hawkins
4. Comments regarding former Commissioner John Raley. Ms. Kemp
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held March 9, 2018. Chair Hawkins.
6. Hearing on Advisory Opinion Request AOR-2017-03. Chair Hawkins.
  - a. Explanation of draft opinion AO-2018-01 by General Counsel.
  - b. Comments and Questions by Commissioners.
  - c. Public comment, including any written comment.
  - d. Comments and questions by Commissioners and staff.
7. Possible action on advisory opinion AO-2018-01. Chair Hawkins.
8. Consideration, discussion and possible action on request for waiver of Ethics Rule 4, Conflicts of Interest, based upon a preexisting relationship pursuant to Rule 4.22. Ms. Preslar.

W-18-01, Request by Annette Wisk Jacobi for a waiver of Rules for preexisting relationship with Secretary Steve Buck and Director Ed Lake.
9. Discussion of agency expenditures for the month of March 2018. Chair Hawkins.
10. Executive Director's Report. Ms. Kemp.
  - a. Agency Move Information
  - b. Status Updates: Proposed Rule Amendments 2019-01, 2019-02
  - c. 2018 Candidate Filing
  - d. Update on the FY 19 Budget Request
  - e. Continuing Education Programs and Compliance
11. 2018 Legislation Report. Ms. Preslar.

12. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2017, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.

a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Hawkins.

c. Consideration and discussion on the following:

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2018-01, alleging violation of campaign finance Rule 257, Chapter 10 and Rule 2

Complaint C-17-12

Complaint C-18-04

Complaint C-18-05

13. Action to return to open session. Chair Hawkins.

14. Consideration, discussion, and possible action on items considered in executive session. Chair Hawkins.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2018-01, alleging violation of campaign finance Rule 257, Chapter 10 and Rule 2

Complaint C-17-12

Complaint C-18-04

Complaint C-18-05

15. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

16. Adjournment. Chair Hawkins

**-NEXT REGULAR MEETING-  
FRIDAY MAY 11, 2018 AT 10:00 AM  
OKLAHOMA STATE CAPITOL BUILDING  
2300 NORTH LINCOLN BOULEVARD, ROOM 432A  
OKLAHOMA CITY, OKLAHOMA**

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*







# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Friday, May 11, 2018, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432-A, Oklahoma City, Oklahoma.

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Open Meeting Act Compliance. Chair Hawkins.
3. Introductions and Announcements. Chair Hawkins.
4. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held April 13, 2018. Chair Hawkins.
5. Executive Director's Report. Ms. Kemp.
  - a. Agency Move Information
  - b. Update on the FY 19 Budget Request
  - c. Update on Ethics Rules submitted during the 2018 Legislative Session
  - d. Agency expenditures for the month of April 2018
  - e. Status Updates: Proposed Rule Amendments 2019-01, 2019-02
  - f. Continuing Education Programs and Compliance
6. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room G-27 of the Capitol, authorized by Title 25 O.S. Supp. 2017, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, 6.7, and 6.9 for the purpose of discussing matters identified below.
  - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
  - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room G-27 of the Capitol. Chair Hawkins.
  - c. Consideration and discussion on the following:
    - Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10
    - Case No. 2018-04, alleging violation of lobbyist registration and reporting Rule 5
    - Complaint C-17-12
    - Complaint C-18-02

Complaint C-18-06

Complaint C-18-07

Complaint C-18-08

Complaint C-18-09

Complaint C-18-10

d. Consideration and discussion regarding potential claim, including litigation, against to-be-determined respondents for violation of Oklahoma Constitution and Oklahoma Ethics Rules.

7. Action to return to open session. Chair Hawkins.

8. Consideration, discussion, and possible action on items considered in executive session. Chair Hawkins.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2018-04, alleging violation of lobbyist registration and reporting Rule 5

Complaint C-17-12

Complaint C-18-02

Complaint C-18-06

Complaint C-18-07

Complaint C-18-08

Complaint C-18-09

Complaint C-18-10

Potential claim, including litigation, against to-be-determined respondents for violation of Oklahoma Constitution and Oklahoma Ethics Rules.

9. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

10. Adjournment. Chair Hawkins

**-NEXT REGULAR MEETING-  
FRIDAY JUNE 8, 2018 AT 10:00 AM  
OKLAHOMA STATE CAPITOL BUILDING  
2300 NORTH LINCOLN BOULEVARD, ROOM 432A  
OKLAHOMA CITY, OKLAHOMA**



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Friday, June 8, 2018, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432-A, Oklahoma City, Oklahoma.

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Open Meeting Act Compliance. Chair Hawkins.
3. Introductions and Announcements. Chair Hawkins.
4. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held May 11, 2018. Chair Hawkins.
5. Executive Director's Report. Ms. Kemp.
  - a. Agency expenditures for the month of May 2018
  - b. Agency News
  - c. Continuing Education Programs and Compliance
6. Consideration, discussion and possible action on request for waiver of Ethics Rule 5, Lobbyist Registration and Reporting, based upon a preexisting relationship pursuant to Rule 5.25. Chair Hawkins.

W-18-02, Request by Mark Reudy for a waiver of Rules for preexisting relationship with Jonathan Nichols.
7. Consideration and discussion of compliance process, including orders, late fee schedule, and collection. Chair Hawkins.
8. Consideration, discussion and possible action on schedule for registration and administration fees for legislative liaisons, legislative lobbyists, executive lobbyists, lobbyist principals, political party committees, political action committees and candidate committees effective July 1, 2018. Chair Hawkins.
9. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room G-27 of the Capitol, authorized by Title 25 O.S. Supp. 2017, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
  - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
  - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room G-27 of the Capitol. Chair Hawkins.

c. Consideration and discussion on the following:

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Complaint C-18-09

Complaint C-18-10

Complaint C-18-11

Potential claim, including litigation, against to-be-determined respondents for violation of Oklahoma Constitution and Oklahoma Ethics Rules.

10. Action to return to open session. Chair Hawkins.

11. Consideration, discussion, and possible action on items considered in executive session. Chair Hawkins.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Complaint C-18-09

Complaint C-18-10

Complaint C-18-11

Potential claim, including litigation, against to-be-determined respondents for violation of Oklahoma Constitution and Oklahoma Ethics Rules.

12. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

13. Adjournment. Chair Hawkins

**-NEXT REGULAR MEETING-  
FRIDAY JULY 13, 2018 AT 10:00 AM  
OKLAHOMA STATE CAPITOL BUILDING  
2300 NORTH LINCOLN BOULEVARD, ROOM 432-A  
OKLAHOMA CITY, OKLAHOMA**

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Friday, July 13, 2018, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432-A, Oklahoma City, Oklahoma.

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Open Meeting Act Compliance. Chair Hawkins.
3. Introductions and Announcements. Chair Hawkins.
4. Comments by Auditor and Inspector Gary Jones regarding candidate committee expenditures (including contributions to other candidate committees) and independent expenditures. Chair Hawkins.
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held June 8, 2018. Chair Hawkins.
6. Consideration and discussion of compliance process, including orders, late fee schedule, and collection. Chair Hawkins.
7. Executive Director's Report. Ms. Kemp.
  - a. Agency expenditures for the month of June 2018
  - b. 2018 Election Primary and Run-Off Election Updates
  - c. Guardian System and Technology Updates
  - d. Continuing Education Programs and Compliance
8. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room G-27 of the Capitol, authorized by Title 25 O.S. Supp. 2017, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
  - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
  - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room G-27 of the Capitol. Chair Hawkins.
  - c. Consideration and discussion on the following:  
Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10  
Case No. 2018-04, alleging violation of lobbyist registration and reporting Rule 5

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*

Case No. 2018-08, alleging violations of campaign finance Rule 2

Complaint C-18-11

Complaint C-18-12

Complaint C-18-13

Complaint C-18-14

Complaint C-18-15

*State of Oklahoma ex rel. Oklahoma Ethics Commission v. The Honorable Mary Fallin, The Honorable Mike Schulz, The Honorable Charles McCall, The Honorable Ken Miller, and Denise Northrup*, Case No. 117,149, in the Supreme Court of the State of Oklahoma.

9. Action to return to open session. Chair Hawkins.

10. Consideration, discussion, and possible action on items considered in executive session. Chair Hawkins.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2018-04, alleging violation of lobbyist registration and reporting Rule 5

Case No. 2018-08, alleging violation of campaign finance Rule 2

Complaint C-18-11

Complaint C-18-12

Complaint C-18-13

Complaint C-18-14

Complaint C-18-15

*State of Oklahoma ex rel. Oklahoma Ethics Commission v. The Honorable Mary Fallin, The Honorable Mike Schulz, The Honorable Charles McCall, The Honorable Ken Miller, and Denise Northrup*, Case No. 117,149, in the Supreme Court of the State of Oklahoma.

11. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

12. Adjournment. Chair Hawkins

**-NEXT REGULAR MEETING-  
Friday August 10, 2018 at 10:00 a.m.  
Oklahoma State Capitol Building  
2300 North Lincoln Boulevard, Room 432-A  
Oklahoma City, Oklahoma**

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting and public hearing September 14, 2018, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432-A, Oklahoma City, Oklahoma.

**Public Hearing:** The purpose of the hearing will be to consider possible amendments to the Constitutional Ethics Rules.

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Open Meeting Act Compliance. Chair Hawkins
3. Introductions and Announcements. Chair Hawkins.
4. Consideration and discussion of Amendment 2019-01. This amendment modifies the Campaign Finance Rules in Rule 2 of the Constitutional Ethics Rules regarding coordination. Chair Hawkins.
  - a. Explanation of Amendment 2019-01 by Executive Director.
  - b. Comments by Commissioner Laster.
  - c. Public comment.
  - d. Comments and questions by Commissioners and staff.
5. Consideration and discussion of Amendment 2019-02. This amendment modifies the Lobbyist Rules in Rule 5 of the Constitutional Ethics Rules regarding disclosure of funds expended to influence a vote of the legislature concerning pending legislation. Chair Hawkins.
  - a. Explanation of Amendment 2019-02 by Executive Director.
  - b. Comments by Commissioner Long.
  - c. Public comment.
  - d. Comments and questions by Commissioners and staff.
6. Consideration and discussion of Amendment 2019-03. This amendment modifies the Conflicts of Interest Rules in Rule 4 and Lobbyist Rules in Rule 5 of the Constitutional Ethics Rules. A period of time will be established which must pass before an individual who served as an elected state officer or chief administrative officer may lobby, influence, or attempt to influence actions of state officers and employees of the state entity the individual previously served, had oversight, or interacted with. This will only impact individuals who are compensated for those activities by an entity other than the State of Oklahoma. Chair Hawkins.
  - a. Explanation of Amendment 2019-03 by Executive Director.

- b. Comments by Commissioner Hawkins.
  - c. Public comment.
  - d. Comments and questions by Commissioners and staff.
7. Discussion and possible action on Amendment 2019-03 of the Constitutional Ethics Rules. Chair Hawkins.
8. Consideration, discussion, and possible action on minutes for the regular meeting held July 13, 2018 and the executive sessions held June 8, 2018 and July 13, 2018. Chair Hawkins.
9. Request for advisory opinion. Chair Hawkins.  
AOR-2018-01, request from Stephanie Hoskin, Treasurer of Friends of Chuck Hoskin 2016.
10. Executive Director's Report. Ms. Kemp.
- a. Agency expenditures for the months of July and August 2018.
  - b. Continuing Education Programs and Compliance
  - c. Status of Report Review of 2018 Candidates
  - d. Update on state questions and reporting requirements on the 2018 General Election ballot
11. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room G-27 of the Capitol, authorized by Title 25 O.S. Supp. 2017, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
- e. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
  - f. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room G-27 of the Capitol. Chair Hawkins.
  - g. Consideration and discussion on the following:
    - Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10
    - Case No. 2018-04, alleging violation of lobbyist registration and reporting Rule 5
    - Case No. 2018-08, alleging violations of campaign finance Rule 2



Case No. 2018-09, alleging violations of campaign finance Rule 2 and 11 O.S. Supp. 2018, §§ 56-101 *et seq.*

Case No. 2018-10, alleging violations of campaign finance Rule 2

Case No. 2018-11, alleging violations of campaign finance Rule 2

Case No. 2018-14, alleging violations of campaign finance Rule 2 and 11 O.S. Supp. 2018, §§ 56-101 *et seq.*

Case No. 2018-15, alleging violations of campaign finance Rule 2

Complaint C-18-16

Complaint C-18-17

Complaint C-18-19

Complaint C-18-20

Complaint C-18-21

Complaint C-18-22

Complaint C-18-23

*State of Oklahoma ex rel. Oklahoma Ethics Commission v. The Honorable Mary Fallin, The Honorable Mike Schulz, The Honorable Charles McCall, The Honorable Ken Miller, and Denise Northrup*, Case No. 117,149, in the Supreme Court of the State of Oklahoma.

12. Action to return to open session. Chair Hawkins.

13. Consideration, discussion, and possible action on items considered in executive session. Chair Hawkins.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2018-04, alleging violation of lobbyist registration and reporting Rule 5

Case No. 2018-08, alleging violations of campaign finance Rule 2

Case No. 2018-09, alleging violations of campaign finance Rule 2 and 11 O.S. Supp. 2018, §§ 56-101 *et seq.*

Case No. 2018-10, alleging violations of campaign finance Rule 2

Case No. 2018-11, alleging violations of campaign finance Rule 2

Case No. 2018-14, alleging violations of campaign finance Rule 2 and 11 O.S. Supp. 2018, §§ 56-101 *et seq.*

Case No. 2018-15, alleging violations of campaign finance Rule 2

Complaint C-18-16

Complaint C-18-17

Complaint C-18-19

Complaint C-18-20

Complaint C-18-21

Complaint C-18-22

Complaint C-18-23

*State of Oklahoma ex rel. Oklahoma Ethics Commission v. The Honorable Mary Fallin, The Honorable Mike Schulz, The Honorable Charles McCall, The Honorable Ken Miller, and Denise Northrup*, Case No. 117,149, in the Supreme Court of the State of Oklahoma.

14. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

15. Adjournment. Chair Hawkins

**-NEXT REGULAR MEETING-  
October 12, 2018 at 10:00 a.m.  
Oklahoma State Capitol Building  
2300 North Lincoln Boulevard, Room 432-A  
Oklahoma City, Oklahoma**



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting and public hearing October 12, 2018, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432-A, Oklahoma City, Oklahoma.

**Public Hearing:** The purpose of the hearing will be to consider development of possible amendments to the Constitutional Ethics Rules regarding: disclosure of source and funds used for communications to influence a vote of the legislature concerning pending legislation; and candidate committee to candidate committee contributions.

### AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Open Meeting Act Compliance. Chair Hawkins
3. Introductions and Announcements. Chair Hawkins.
4. Status update and discussion of Amendment 2019-02. This amendment modifies the Lobbyist Rules in Rule 5 of the Constitutional Ethics Rules concerning disclosure of source and funds used for communications to influence a vote of the legislature concerning pending legislation. Chair Hawkins.
  - a. Public Comment
5. Status Update and Discussion of Amendment 2019-04. This amendment modifies the Campaign Finance Rules in Rule 2 of the Constitutional Ethics Rules involving candidate committee to candidate committee contributions. Chair Hawkins.
  - a. Public Comment
6. Consideration, discussion, and possible action on minutes for the regular meeting, public hearing, and executive session held September 14, 2018. Chair Hawkins.
7. Executive Director's Report. Ms. Kemp.
  - a. Agency expenditures for the month of September 2018.
  - b. FY 19 Budget and FY 20 Budget Request
  - c. Historical Commission Documents
  - d. Education Programs and Compliance
  - e. COGEL 2018
8. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room G-27 of the Capitol, authorized by Title 25 O.S. Supp. 2017, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
  - f. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*

pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

g. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room G-27 of the Capitol. Chair Hawkins.

h. Consideration and discussion on the following:

Case No. 2018-14, alleging violations of 11 O.S. § 56-101 *et seq.* and campaign finance Rule 2

Case No. 2018-21, alleging violations of conflict of interest Rule 4

Complaint C-18-23

Complaint C-18-24

*State of Oklahoma ex rel. Oklahoma Ethics Commission v. The Honorable Mary Fallin, The Honorable Mike Schulz, The Honorable Charles McCall, The Honorable Ken Miller, and Denise Northrup*, Case No. 117,149, in the Supreme Court of the State of Oklahoma.

*Institute for Justice v. John Hawkins, Karen Long, Holly Easterling, Charlie Laster, Cathy Stocker, Ashley Kemp*, Case No. CIV-18-934-D, in the United States District Court of the Western District of Oklahoma.

9. Action to return to open session. Chair Hawkins.

10. Consideration, discussion, and possible action on items considered in executive session. Chair Hawkins.

Case No. 2018-14, alleging violations of 11 O.S. § 56-101 *et seq.* and campaign finance Rule 2

Case No. 2018-21, alleging violations of conflict of interest Rule 4

Complaint C-18-23

Complaint C-18-24

*Institute for Justice v. John Hawkins, Karen Long, Holly Easterling, Charlie Laster, Cathy Stocker, Ashley Kemp*, Case No. CIV-18-934-D, in the United States District Court of the Western District of Oklahoma.

11. Nomination, consideration and action on election of Commission Chair for 2018-2019. Chair Hawkins.

12. Nomination, consideration and action on election of Commission Vice-Chair for 2018-2019. Chair Hawkins.

13. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

14. Adjournment. Chair Hawkins

**NEXT REGULAR MEETING: November 9, 2018 at 10:00 a.m., Oklahoma State Capitol Building, 2300 North Lincoln Boulevard, Room 432-A, Oklahoma City, Oklahoma**

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*



# OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: [WWW.ETHICS.OK.GOV](http://WWW.ETHICS.OK.GOV)

## NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting and public hearing December 14, 2018, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432-A, Oklahoma City, Oklahoma.

**Public Hearing:** The purpose of the hearing will be to consider possible amendments to the Constitutional Ethics Rules and to consider draft advisory opinions in response to Advisory Opinion Request 2018-01. Draft language for Rule amendments and the Advisory Opinion is available at [www.ethics.ok.gov](http://www.ethics.ok.gov).

### AGENDA

1. Call to order and determination of quorum present. Chair Long
2. Open Meeting Act Compliance. Chair Long
3. Introductions and Announcements. Chair Long
4. **Public Hearing of Amendment 2019-01.** This amendment modifies the Campaign Finance Rules in Rule 2 of the Constitutional Ethics Rules clarifying the Rules about coordinated activities to aid in determining what activities will and will not be considered contributions. Chair Long
  - a. Explanation of Amendment 2019-01 by Executive Director
  - b. Comments by Commissioner Laster
  - c. Public Comment
  - d. Comments and discussion by Commissioners and Staff
5. Discussion and possible action on Amendment 2019-01 of the Constitutional Ethics Rules. Chair Long
6. **Public Hearing of Amendment 2019-02.** This amendment modifies the Lobbyist Rules in Rule 5 of the Constitutional Ethics Rules concerning disclosure of source and funds used for communications to influence a vote of the legislature concerning pending legislation. Chair Long
  - a. Explanation of Amendment 2019-02 by Executive Director
  - b. Comments by Commissioner Long
  - c. Public Comment
  - d. Comments and discussion by Commissioners and Staff
7. Discussion and possible action on Amendment 2019-02 of the Constitutional Ethics Rules. Chair Long
8. **Public Hearing of Amendment 2019-04.** This amendment modifies the Campaign Finance Rules in Rule 2 of the Constitutional Ethics Rules involving candidate committee to candidate committee contributions. Chair Long.
  - a. Explanation of Amendment 2019-04 by Executive Director

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*

- b. Comments by Commissioner Laster
  - c. Public Comment
  - d. Comments and discussion by Commissioners and Staff
9. Discussion and possible action on Amendment 2019-04 of the Constitutional Ethics Rules. Chair Long
10. **Public Hearing on Advisory Opinion Request AOR-2018-01**, request by Stephanie Hoskin, Friends of Chuck Hoskin. Chair Long
- a. Explanation of draft opinions by General Counsel
  - b. Comments and questions by Commissioners.
  - c. Public Comment, including any written comment.
  - d. Comments and questions by Commissioners and Staff.
11. Consideration, discussion, and possible action on Advisory Opinion Request AOR-2018-01. Chair Long
12. Consideration, discussion, and possible action on minutes for the regular meeting, public hearing, and executive session held October 12, 2018. Chair Long
13. Consideration, discussion, and possible action on Commission meeting dates for calendar year 2019. Chair Long.
14. Executive Director's Report. Ms. Kemp
- a. Agency expenditures for the month of October and November 2018
  - b. FY 20 Budget Request
  - c. Lobbyist and Liaison Registration
  - d. Education Programs and Compliance
  - e. COGEL 2018
15. Consideration, discussion, and possible action on closed/executive session to be held in the Commission offices, Room G-27 of the Capitol, authorized by Title 25 O.S. Supp. 2018, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
- a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
  - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room G-27 of the Capitol. Chair Long
  - c. Consideration and discussion on the following:  
Case No. 2017-10, alleging violations of campaign finance Rule 2

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*

Case No. 2017-14, alleging violations of campaign finance Rule 257, Chapter 10 and Rule 2

Case No. 2018-15, alleging violations of campaign finance Rule 2

Case No. 2018-17, alleging violations of conflict of interest Rule 4

Case No. 2018-19, alleging violations of campaign finance Rule 2

Case No. 2018-21, alleging violations of conflict of interest Rule 4

Complaint C-18-24

Complaint C-18-25

*Oklahoma Ethics Commission v. Blackwell, et al.*, Case No. CJ-2016-2342, in the District Court of Oklahoma County, State of Oklahoma

*Institute for Justice v. John Hawkins, Karen Long, Holly Easterling, Charlie Laster, Cathy Stocker, Kemp*, Case No. CIV-18-934-D, in the United States District Court of the Western District of Oklahoma.

16. Action to return to open session. Chair Long.

17. Consideration, discussion, and possible action on items considered in executive session. Chair Long.

Case No. 2017-10, alleging violations of campaign finance Rule 2

Case No. 2017-14, alleging violations of campaign finance Rule 257, Chapter 10 and Rule 2

Case No. 2018-15, alleging violations of campaign finance Rule 2

Case No. 2018-17, alleging violations of conflict of interest Rule 4

Case No. 2018-19, alleging violations of campaign finance Rule 2

Case No. 2018-21, alleging violations of conflict of interest Rule 4

Complaint C-18-24

Complaint C-18-25

*Oklahoma Ethics Commission v. Blackwell, et al.*, Case No. CJ-2016-2342, in the District Court of Oklahoma County, State of Oklahoma

*Institute for Justice v. John Hawkins, Karen Long, Holly Easterling, Charlie Laster, Cathy Stocker, Kemp*, Case No. CIV-18-934-D, in the United States District Court of the Western District of Oklahoma

18. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Long.

19. Adjournment. Chair Long

**NEXT REGULAR MEETING: January 11, 2019 at 10:00 a.m., Oklahoma State Capitol Building, 2300 North Lincoln Boulevard, Room 432-A, Oklahoma City, Oklahoma**

*The Ethics Commission may discuss or decide not to discuss any item on the agenda.*

